

APPLICATION FOR AUTHORISATION TO USE NSW GREYHOUND RACE FIELD INFORMATION

FORM 4

Period Ending 30 June 2024

This application form is to be completed by a corporation that is a betting service provider as defined in the *Betting and Racing Regulation 2012 (NSW) (the Regulation)*. Each section must be completed in full and the form must be signed, on behalf of the applicant, by the Chief Executive Officer, a Director, or a person in an equivalent position.

Please send the completed form to GRNSW via following email addresses: racefields@grnsw.com.au and wagering@grnsw.com.au OR by post to PO Box 698, Darlinghurst, NSW, 1300

1. APPLICANT DETAILS

(Note: Overseas applicants should provide equivalent information under the applicable jurisdiction)

Name			
Business Trading Name			
ABN			
Place of Incorporation			
Wagering website URL			
Place of Business			Postcode:
Postal Address			Postcode:
Telephone number			

Business Contact			
Position			
Telephone Number			
Email Address			

Key contact for this Application (<i>Please nominate a person that GRNSW should contact regarding this application</i>)			
Key contact Telephone Number			
Key contact Email Address			
Name of Audit Firm and Partner approving and signing off Financial Statements			

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2. LICENCE TO CONDUCT WAGERING

Please provide details of the applicant's licence to operate as a licensed betting service provider including, but not limited to, the following:

A copy of the applicant's State Regulators licence to operate as a betting service provider (please attach copy to application form)	Yes		No	
The type of licence held by the applicant				
Legislation under which the licence is granted				
The jurisdiction in which the licence was issued and to which it relates				
The Regulator responsible for issuing the licence				
The commencement date of the licence				
The expiry date of the licence				
Are you a member of an interstate Bookmakers Co-Op or Association? (For Example: Victorian Bookmakers Association)				
What is the back-end wagering platform your organisation is using or intending to use? (For Example: BetMakers / Genweb / Jaguar/ in-house custom built, other)				

2.1 LICENCE INFORMATION ATTACHMENTS

Please make sure the applicant attaches all relevant documentation relating to the licences and approvals above. Can you confirm these have been attached?

3. WAGERING OPERATIONS

Please provide details as to the wagering already offered / intending to be offered by the applicant on NSW greyhound race meetings, including but not limited to:

Does the applicant intend to offer wagering products on all NSW greyhound meetings covered by the NSW TAB?	
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Please describe the types of betting products the applicant intends to offer on NSW greyhound meetings during the approval period? (This may include: Pari Mutuel / Fixed Odds / Tote Derivatives / bespoke bet types)

4. PROPOSED USE OF NSW GREYHOUND RACE FIELD INFORMATION

4.1 Use

Does the applicant intend to use NSW greyhound race field information for purposes other than to facilitate wagering transactions?	
If yes, please specify those purposes	

Please provide in full details as to the proposed use or uses of NSW greyhound race field information including but not limited to:	
How long has the applicant been providing wagering services on GRNSW Racing content?	
In which States and Territories of Australia (or in which jurisdictions overseas) will the race field information be used	
The medium through which the applicant intends to use the race field information (e.g. internet, print, broadcast, etc.)	

5. INTEGRITY OF RACING

<p>Please provide details of the applicant's policies and procedures relating to racing integrity issues for greyhound racing in NSW including but not limited to policies and procedures regarding:</p> <ul style="list-style-type: none"> • Detecting suspect betting transactions; • Fraud; • Reporting requirements relating to suspicious betting transactions and fraud; • Bet types such as field versus favourite betting, head to head betting, etc.; • Monitoring of betting transactions.

8. KEY EMPLOYEES & CLOSE ASSOCIATES

This section must be completed by an applicant in relation to the applicant, each Key Employee of the applicant and Close Associate of the applicant as defined by the *Betting and Racing Regulation 2012(NSW) (Regulation)*. The Regulation defines each of these terms in the following manner:

Key employee, in relation to an applicant for or holder of an approval, means a person (whether or not a person appointed under a contract of service) who is:

- (a) employed in a managerial or supervisory capacity in relation to the conduct of wagering operations by the approval applicant or holder, or
- (b) authorised to make decisions, involving the exercise of his or her discretion, that regulate the operations of the approval applicant or holder in relation to the conduct of wagering operations, or
- (c) concerned or engaged, in any manner, in the conduct of wagering operations by the approval applicant or holder.

A person is a **close associate** of an applicant for, or the holder of, an approval if the person:

- (a) holds or will hold any relevant financial interest, or is or will be entitled to exercise any relevant power (whether in his or her own right or on behalf of any other person), in the business of the approval applicant or holder, and by virtue of that interest or power is or will be able (in the opinion of GRNSW) to exercise a significant influence over or with respect to the conduct of that business, or
- (b) holds or will hold any relevant position, whether in his or her own right or on behalf of any other person, in the business of the approval applicant or holder.

A **relevant power** means any power, whether exercisable by voting or otherwise and whether exercisable alone or in association with others:

- (a) to participate in any directional, managerial or executive decision, or
- (b) to elect or appoint any person to any relevant position.

A **relevant position** means the position of director, manager, secretary and other executive position, however those positions are designated.

If you are not able to complete the details of the applicant, each Key Employee and each Close Associate (as applicable) in the space provided then please attach additional details on a separate paper.

Has the applicant attached further details relating to himself / herself, each key employee and each Close Associate (as applicable) to this application?	Yes	No	
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Name			
Role			
Has the person ever been guilty of an offence punishable by fine or imprisonment in either NSW or elsewhere?	Yes	No	
If yes, please provide details including but not limited to the nature of the offence, the date of the offence and the penalty imposed			
Has the person ever been subject to disciplinary action in relation to any legislation/rules of betting or racing?	Yes	No	
If yes, please provide details including but not limited to the nature of the offence, the date of the offence and the penalty imposed			

Name			
Role			
Has the person ever been guilty of an offence punishable by fine or imprisonment in either NSW or elsewhere?	Yes	No	
If yes, please provide details including but not limited to the nature of the offence, the date of the offence and the penalty imposed			
Has the person ever been subject to disciplinary action in relation to any legislation/rules of betting or racing?	Yes	No	
If yes, please provide details including but not limited to the nature of the offence, the date of the offence and the penalty imposed			

KEY EMPLOYEES & CLOSE ASSOCIATES (Continued)



Name			
Role			
Has the person ever been guilty of an offence punishable by fine or imprisonment in either NSW or elsewhere?	Yes	No	
If yes, please provide details including but not limited to the nature of the offence, the date of the offence and the penalty imposed			
Has the person ever been subject to disciplinary action in relation to any legislation/rules of betting or racing?	Yes	No	
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DECLARATION

I declare that I am authorised to make this declaration and that the information contained within this application is complete, true, and correct in every particular.

Signature of Applicant	
Full Name of Applicant	
Date of Application	

SUBMISSION OF APPLICATION TO GRNSW

By email:

Please send the completed form to GRNSW via the following email addresses: racefields@grnsw.com.au and wagering@grnsw.com.au

By Post:

Please print off and send to:

**GRNSW Wagering Department
PO Box 698,
Darlinghurst NSW 1300**

If you send your application in by post, please make sure you email racefields@grnsw.com.au and wagering@grnsw.com.au confirming that you are sending in a hard copy